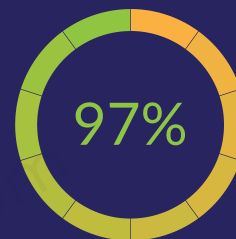


WMS Partners

TRUST SCORE



WMS Partners, federally registered in 1994, is a Registered Investment Advisor (RIA) in 18 state(s) with a licensed staff of 20 advisors. WMS Partners manages \$4.1 billion and provides investment advisory services for 649 clients (1:33 advisor/client ratio).

WMS Partners requires a \$3,000,000 minimum investment.

BENEFITS

- Firm does not have any felony convictions
- Firm does not have a disciplinary history
- Firm uses a transparent fee-only model
- Firm has been federally registered for 24 years
- Firm has \$1B or more in assets under management
- Communications sent to the firm's website are secure and encrypted
- Firm does not have any misdemeanor convictions
- Firm does not have an insurance agent conflict
- Firm does not offer proprietary products
- Firm does not have an attorney conflict
- Firm does not receive soft dollar benefits

CONSIDERATIONS

- Firm privately manages or owns some of the products they recommend and may be incentivized to sell those products over others to their investors
- Firm accepts performance-based fees and may be incentivized to take inappropriate risks to beat their performance benchmark
- Firm performs side-by-side management with accounts that have differing fee structures. Investment Advisors may be incentivized to favor clients that pay higher fees over others

BENEFITS (cont.)

Firm provides mobile-friendly experience for their clients

CONSIDERATIONS (cont.)