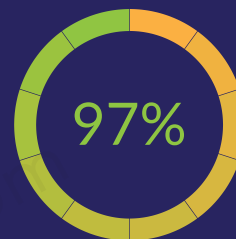


Williams, Jones & Associates

TRUST SCORE



Williams, Jones & Associates, federally registered in 1988, is a Registered Investment Advisor (RIA) in 37 state(s) with a licensed staff of 15 advisors. Williams, Jones & Associates manages \$7.2 billion and provides investment advisory services for 1,085 clients (1:73 advisor/client ratio).

Management fees generally vary and are capped at 0.50% of assets under management.

BENEFITS

Firm does not have any felony convictions (Impact:High)

Firm does not have a disciplinary history (Impact:High)

Firm uses a transparent fee-only model (Impact:High)

Firm has been federally registered for 30 years
(Impact:High)

Firm has \$1B or more in assets under management
(Impact:Medium)

Communications sent to the firm's website are secure
and encrypted (Impact:Medium)

Firm does not have any misdemeanor convictions
(Impact:Medium)

Firm does not have an insurance agent conflict
(Impact:Medium)

Firm does not privately manage or own the products
they recommend (Impact:Low)

CONSIDERATIONS

Firm may receive soft-dollar benefits that could incentivize them to push trades through broker-dealers that provide advantages to the firm instead of through broker-dealers that could provide the best execution for their clients (Impact:Low)

BENEFITS (cont.)

Firm does not accept performance-based fees
(Impact:Low)

Firm does not perform side-by-side management
(Impact:Low)

Firm provides mobile-friendly experience for their clients (Impact:Low)

CONSIDERATIONS (cont.)