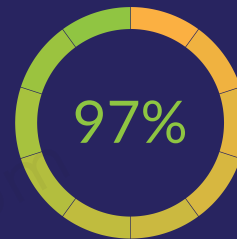


# Water Walker Investments

TRUST SCORE



Water Walker Investments, federally registered in 2001, is a Registered Investment Advisor (RIA) in 1 state(s) with a licensed staff of 1 advisors. Water Walker Investments manages \$4 billion and provides investment advisory services for 1 clients (1:1 advisor/client ratio).

Water Walker Investments requires a \$0 minimum investment and charges 0.25% for ongoing portfolio management services, depending on the size of the portfolio.

## BENEFITS

- Firm uses a transparent fee-only model
- There is one licensed advisor for every 1 clients (industry average 1:50)
- Firm has \$1B or more in assets under management
- Firm has been federally registered for 17 years
- Communications sent to the firm's website are secure and encrypted
- Firm does not have a disciplinary history
- Firm does not have any misdemeanor convictions
- Firm does not have any felony convictions
- Firm does not have an insurance agent conflict
- Firm does not have an attorney conflict
- Firm does not accept performance-based fees

## CONSIDERATIONS

- Firm serves fewer than 1,000 clients
- Firm may receive soft-dollar benefits that could incentivize them to push trades through broker-dealers that provide advantages to the firm instead of through broker-dealers that could provide the best execution for their clients
- Custodian is unknown

## BENEFITS (cont.)

Firm does not perform side-by-side management

Firm does not privately manage or own the products they recommend

Firm does not offer proprietary products

## CONSIDERATIONS (cont.)