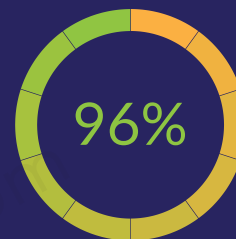


# The Harbor Group

TRUST SCORE



The Harbor Group, federally registered in 1984, is a Registered Investment Advisor (RIA) in 10 state(s) with a licensed staff of 6 advisors. The Harbor Group manages \$1.0 billion and provides investment advisory services for 601 clients (1:101 advisor/client ratio).

The Harbor Group requires a \$500,000 minimum investment.

## BENEFITS

- Firm does not have any felony convictions
- Firm does not have a disciplinary history
- Firm uses a transparent fee-only model
- Firm has been federally registered for 34 years
- Firm has \$1B or more in assets under management
- Communications sent to the firm's website are secure and encrypted
- Firm does not have any misdemeanor convictions
- Firm does not privately manage or own the products they recommend
- Firm does not offer proprietary products
- Firm does not have an attorney conflict
- Firm does not accept performance-based fees

## CONSIDERATIONS

Firm actively engages in insurance sales and may be incentivized to insure clients with products that generate high sales commissions when lower cost alternatives may exist

## BENEFITS (cont.)

Firm does not perform side-by-side management

Firm does not receive soft dollar benefits

Firm provides mobile-friendly experience for their clients

## CONSIDERATIONS (cont.)