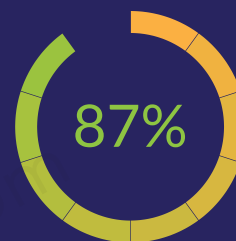


# SEI Investments Management Corp

## TRUST SCORE



SEI Investments Management Corp, federally registered in 1985, is a Registered Investment Advisor (RIA) in 51 state(s) with a licensed staff of 130 advisors. SEI Investments Management Corp manages \$192 billion and provides investment advisory services for 36,465 clients (1:281 advisor/client ratio).

SEI Investments Management Corp requires a \$50,000 minimum investment and charges a blended rate between 0.90% and 0.65% for ongoing portfolio management services, depending on the size of the portfolio.

## BENEFITS

- Firm uses a transparent fee-only model
- Firm acts as their own custodian
- Firm has \$1B or more in assets under management
- Firm has been federally registered for 33 years
- Firm services over 1,000 clients
- Firm does not have a disciplinary history
- Firm does not have any misdemeanor convictions
- Firm does not have any felony convictions
- Firm does not have an insurance agent conflict
- Firm does not have an attorney conflict
- Firm does not accept performance-based fees

## CONSIDERATIONS

- There is only one licensed advisor for every 281 clients (industry average 1:50)
- Communications sent to the firm's website are not secure and encrypted
- Firm is a broker-dealer, or is affiliated with one, and may be subject to compensation-related conflicts of interest
- Firm privately manages or owns some of the products they recommend and may be incentivized to sell those products over others to their investors
- Firm may recommend proprietary investments and products that generate larger commissions than other similar non-proprietary products

## BENEFITS (cont.)

Firm does not perform side-by-side management

Firm does not receive soft dollar benefits

## CONSIDERATIONS (cont.)

Firm has previously been found guilty of violating SEC or CFTC regulations

The SEC or CFTC has previously entered an order against this firm in connection with investment-related activity

Firm has previously been fined or ordered to cease and desist activity by the SEC or CFTC

Firm has previously had a civil action dropped against them following a cash-settlement in court