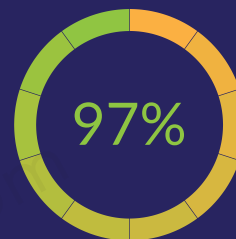


# Lee Financial Company

## TRUST SCORE



Lee Financial Company, federally registered in 1975, is a Registered Investment Advisor (RIA) in 6 state(s) with a licensed staff of 28 advisors. Lee Financial Company manages \$1.1 billion and provides investment advisory services for 526 clients (1:19 advisor/client ratio).

Lee Financial Company requires a \$0 minimum investment.

## BENEFITS

Firm does not have any felony convictions (Impact:High)

Firm does not have a disciplinary history (Impact:High)

Firm uses a transparent fee-only model (Impact:High)

Firm has been federally registered for 43 years (Impact:High)

Firm has \$1B or more in assets under management (Impact:Medium)

Communications sent to the firm's website are secure and encrypted (Impact:Medium)

Firm does not have any misdemeanor convictions (Impact:Medium)

Firm does not have an insurance agent conflict (Impact:Medium)

Firm does not offer proprietary products (Impact:Low)

Firm does not have an attorney conflict (Impact:Low)

## CONSIDERATIONS

Firm privately manages or owns some of the products they recommend and may be incentivized to sell those products over others to their investors (Impact:Low)

## BENEFITS (cont.)

Firm does not perform side-by-side management  
(Impact:Low)

Firm does not receive soft dollar benefits (Impact:Low)

Firm provides mobile-friendly experience for their  
clients (Impact:Low)

## CONSIDERATIONS (cont.)