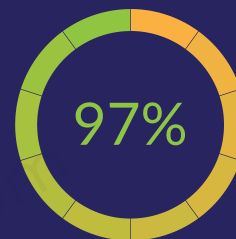


# Heck Capital Advisors

## TRUST SCORE



Heck Capital Advisors, federally registered in 2007, is a Registered Investment Advisor (RIA) in 11 state(s) with a licensed staff of 13 advisors. Heck Capital Advisors manages \$4 billion and provides investment advisory services for 734 clients (1:57 advisor/client ratio).

Heck Capital Advisors requires a \$1,000,000 minimum investment and charges a blended rate between 1.00% and 0.25% for ongoing portfolio management services, depending on the size of the portfolio.

## BENEFITS

- Firm uses a transparent fee-only model
- Firm has \$1B or more in assets under management
- Firm has been federally registered for 11 years
- Communications sent to the firm's website are secure and encrypted
- Firm does not have a disciplinary history
- Firm does not have any misdemeanor convictions
- Firm does not have any felony convictions
- Firm does not have an insurance agent conflict
- Firm does not have an attorney conflict
- Firm does not accept performance-based fees
- Firm does not perform side-by-side management

## CONSIDERATIONS

- There is only one licensed advisor for every 57 clients (industry average 1:50)
- Firm serves fewer than 1,000 clients
- Custodian is unknown

## BENEFITS (cont.)

Firm does not privately manage or own the products they recommend

Firm does not offer proprietary products

Firm does not receive soft dollar benefits

## CONSIDERATIONS (cont.)