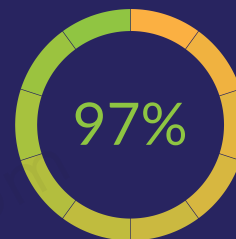


# Foundation Resource Management

TRUST SCORE



Foundation Resource Management, federally registered in 2001, is a Registered Investment Advisor (RIA) in 5 state(s) with a licensed staff of 6 advisors. Foundation Resource Management manages \$2 billion and provides investment advisory services for 159 clients (1:27 advisor/client ratio).

The annual asset based fee is between 0.30% and 1.00% per year of assets under management.

## BENEFITS

Firm uses a transparent fee-only model

Firm uses a trusted custodian

There is one licensed advisor for every 27 clients (industry average 1:50)

Firm has \$1B or more in assets under management

Communications sent to the firm's website are secure and encrypted

Firm does not have a disciplinary history

Firm does not have any misdemeanor convictions

Firm does not have any felony convictions

Firm does not have an insurance agent conflict

Firm does not have an attorney conflict

Firm does not accept performance-based fees

## CONSIDERATIONS

Firm has been federally registered for only 17 years

Firm serves fewer than 1,000 clients

Firm may receive soft-dollar benefits that could incentivize them to push trades through broker-dealers that provide advantages to the firm instead of through broker-dealers that could provide the best execution for their clients

## BENEFITS (cont.)

Firm does not perform side-by-side management

Firm does not privately manage or own the products they recommend

Firm does not offer proprietary products

## CONSIDERATIONS (cont.)