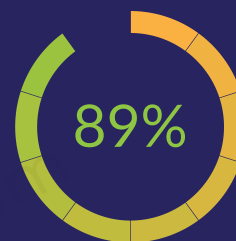


# Etrade Capital Management

## TRUST SCORE



Etrade Capital Management, federally registered in 2000, is a Registered Investment Advisor (RIA) in 53 state(s) with a licensed staff of 0 (unknown) advisors. Etrade Capital Management manages \$5 billion and provides investment advisory services for 59,890 clients (Unknown advisor/client ratio).

The management fee generally varies from 0.30% to 1.25% of assets under management.

## BENEFITS

- Firm uses a transparent fee-only model
- Firm uses a trusted custodian
- Firm has \$1B or more in assets under management
- Firm services over 1,000 clients
- Communications sent to the firm's website are secure and encrypted
- Firm does not have a disciplinary history
- Firm does not have any misdemeanor convictions
- Firm does not have any felony convictions
- Firm does not have an attorney conflict
- Firm does not accept performance-based fees
- Firm does not perform side-by-side management

## CONSIDERATIONS

- There is only one licensed advisor for every 0 clients (industry average 1:50)
- Firm has been federally registered for only 17 years
- Firm is a broker-dealer, or is affiliated with one, and may be subject to compensation-related conflicts of interest
- Firm actively engages in insurance sales and may be incentivized to insure clients with products that generate high sales commissions when lower cost alternatives may exist
- Firm privately manages or owns some of the products they recommend and may be incentivized to sell those products over others to their investors

## BENEFITS (cont.)

Firm does not offer proprietary products

Firm does not receive soft dollar benefits

## CONSIDERATIONS (cont.)