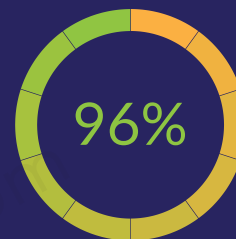


Compton Capital Management

TRUST SCORE



Compton Capital Management, federally registered in 1991, is a Registered Investment Advisor (RIA) in 5 state(s) with a licensed staff of 3 advisors. Compton Capital Management manages \$499 million and provides investment advisory services for 255 clients (1:85 advisor/client ratio).

Compton Capital Management requires a \$0 minimum investment and charges a blended rate 1.00% and below (negotiable) for ongoing portfolio management services, depending on the size of the portfolio.

BENEFITS

- Firm uses a transparent fee-only model
- Firm has been federally registered for 27 years
- Communications sent to the firm's website are secure and encrypted
- Firm does not have a disciplinary history
- Firm does not have any misdemeanor convictions
- Firm does not have any felony convictions
- Firm does not have an insurance agent conflict
- Firm does not have an attorney conflict
- Firm does not accept performance-based fees
- Firm does not perform side-by-side management
- Firm does not privately manage or own the products they recommend

CONSIDERATIONS

- There is only one licensed advisor for every 85 clients (industry average 1:50)
- Firm has less than \$1B in assets under management
- Firm serves fewer than 1,000 clients
- Firm may receive soft-dollar benefits that could incentivize them to push trades through broker-dealers that provide advantages to the firm instead of through broker-dealers that could provide the best execution for their clients
- Custodian is unknown

BENEFITS (cont.)

Firm does not offer proprietary products

CONSIDERATIONS (cont.)